

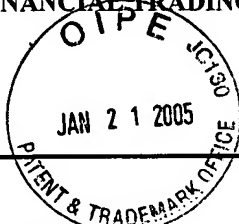
**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
0114066-004

In Re Application Of: Jarvis et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/002,553	November 23, 2001	B. Nguyen	29159	3713	3797

Title: **FINANCIAL TRADING GAME**



COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Amendment Transmittal Letter (1 page in duplicate); Response to Office Action (10 pages); Transmittal of Information Disclosure Statement (2 pages in duplicate); Supplemental Information Disclosure Statement (4 pages); PTO-1449 Form (3 pages); check in the amount of \$180.00; and a postcard which we ask you to date stamp and return.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

*Signature*

Dated: **January 18, 2005**

Adam H. Masia  
Reg. No. 35,602  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
(312) 807-4284

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on  
**January 18, 2005**

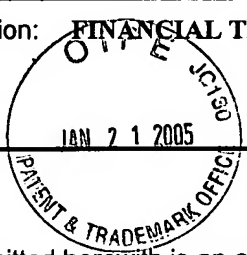
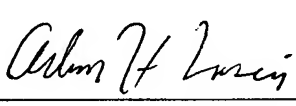

(Date)

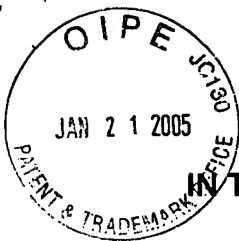
*Signature of Person Mailing Correspondence*

**Heather Foster**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>0114066-004</b>	
Applicant(s): <b>Jarvis et al.</b>					
Application No. <b>10/002,553</b>	Filing Date <b>November 23, 2001</b>	Examiner <b>B. Nguyen</b>	Customer No. <b>29159</b>	Group Art Unit <b>3713</b>	Confirmation No. <b>3797</b>
Invention: <b>FINANCIAL TRADING GAME</b>					
					
<b>COMMISSIONER FOR PATENTS:</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	32 -	48 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	3 -	6 =	0 x	\$88.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>02-1818</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038.					
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
 _____ Signature			Dated: <b>January 18, 2005</b>		
<b>Adam H. Masia</b> <b>Reg. No. 35,602</b> <b>Bell, Boyd &amp; Lloyd LLC</b> <b>P.O. Box 1135</b> <b>Chicago, Illinois 60690-1135</b> <b>Phone: (312) 807-4284</b>			<div style="border: 1px solid black; padding: 5px;">         I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on  <b>January 18, 2005</b>          (Date)            _____          Signature of Person Mailing Correspondence  <b>Heather Foster</b>          _____          Typed or Printed Name of Person Mailing Correspondence       </div>		
CC:					



3713 *spw*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Jarvis et al.  
Appl. No.: 10/002,553  
Conf. No.: 3797  
Filed: November 23, 2001  
Title: FINANCIAL TRADING GAME  
Art Unit: 3713  
Examiner: B. Nguyen  
Docket No.: 0114066-004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO OFFICE ACTION**

Sir:

In response to the Office Action dated October 19, 2004 , please amend the above-identified patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.